

FILING RECEIPT



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/375,605	08/17/99	1652	\$380.00 DIVER1140		0	16	2

GRAY CARY WARE & FREIDENRICH LLP
4365 EXECUTIVE DRIVE
SUITE 1600
SAN DIEGO CA 92121-2189



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SEP 13 1999

GRAYCARY/GT PATENT

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) JAY M. SHORT, ENCINITAS, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF 08/651,568 05/22/96 PAT 5,939,250

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 09/03/99 ** SMALL ENTITY **
TITLE
PRODUCTION OF ENZYMES HAVING DESIRED ACTIVITIES BY MUTAGENESIS (AS
AMENDED

PRELIMINARY CLASS: 435

DATA ENTRY BY: BATIE, MARGARET

TEAM: 01 DATE: 09/03/99

(See reverse for new important information)



#3

PATENT
Attorney Docket No.: DIVER1140-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Jay M. Short

Application No.: 09/375,605

Filed: August 17, 1999

For: PRODUCTION OF ENZYMES HAVING
DESIRED ACTIVITIES BY
MUTAGENESIS (AS AMENDED)

) Group Art Unit: 1652
) Examiner: Not Assigned
)

CERTIFICATION UNDER 37 CFR § 1.8

) I hereby certify that the documents referred to as enclosed
) herein are being deposited with the United States Postal
) Service as first class mail on this date October 8, 1999 in
) an envelope addressed to: Assistant Commissioner for
) Patents, Washington, D.C. 20231

) Elizabeth L. Perez
) (Name of person mailing paper)

) E Perez 10/8/99
) Signature Date

Assistant Commissioner of Patents
Washington, D.C. 20231

ATTN: APPLICATION PROCESSING DIVISION
CUSTOMER CORRECTION BRANCH

CORRECTION OF FILING RECEIPT

Sir:

This Communication is submitted to request correction of the filing receipt in reference to the above-identified application. The data requiring correction is indicated in yellow highlight on the attached copy of the filing receipt and herein in **BOLD**.

Attorney Docket No.

Please delete the "DIVER1140" and replace with -- DIVER1140-2 --.

In re Application of:

Jay M. Short

Application No.: 09/375,605

Filed: August 17, 1999

Page 2

PATENT

Attorney Docket No.: DIVER1140-2

In the Continuing Data

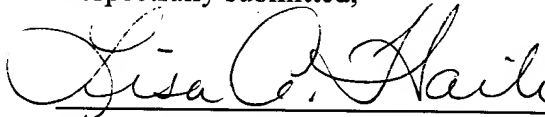
Please delete "This application is a continuation of 08/651,568, filed 05/22/96, Patent No. 5,939,250," and replace with -- This application is a continuation of U.S. Application No. 08/651,568, filed May 5, 1996, which has been issued Patent No. 5,939,250, which claims priority under 35 U.S.C. § 119 to Provisional Application No. 60/008,316, filed December 7, 1995.

~~Applicant accordingly requests the corrections be made of record.~~

No fee is deemed necessary in connection with the filing of this communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: 10/8/99



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Examiner: Not Assigned

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Signature

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Elizabeth Perez

(Name of person mailing paper)

E. Perez

10/8/99

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Application Processing Division
Customer Correction Branch

TRANSMITTAL SHEET

Sir:

Transmitted herewith for the above-identified application please find "Request for
Correction of Filing Receipt" with attached copy of the original Official Filing Receipt.

The Commissioner is hereby authorized to charge any fees that may be required by this
paper to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Lisa A. Haile, Ph.D.
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